

Form PTO-1594 (Rev. 07/05)
OMB Collection 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET TRADEMARKS ONLY

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

CapitalSource Finance LLC

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☒ Corporation- State: _____
☐ Other _____

Citizenship (see guidelines) _____

Additional names of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance /Execution Date(s) :

Execution Date(s) 6/14/2007

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

2. Name and address of receiving party(ies)

☐ Yes
☒ No

Additional names, addresses, or citizenship attached?

Name: Richard L. Cox, Sr.

Internal

Address: _____

Street Address: 412 Westview Avenue

City: Chattanooga

State: TN

Country: USA Zip: 37411

- ☐ Association Citizenship _____
☐ General Partnership Citizenship _____
☐ Limited Partnership Citizenship _____
☐ Corporation Citizenship _____

☒ Other Individual Citizenship US

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No
 (Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and Identification or description of the Trademark.

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

2784960

Additional sheet(s) attached? ☐ Yes ☒ No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):
 The Advisor Answer

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: Steven J. Moore

Internal Address: Intellectual Property Department

Kelley Drye & Warren LLP

Street Address: 400 Atlantic Street

13th Floor

City: Stamford

State: CT Zip: 06901

Phone Number: 203-351-8020

Fax Number: 203-327-2669

Email Address: smoore@kelleydrye.com

6. Total number of applications and registrations involved:

1

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed

8. Payment Information:

a. Credit Card Last 4 Numbers _____
 Expiration Date _____

b. Deposit Account Number 11-0404

Authorized User Name Kelley Drye & Warren LLP

9. Signature:



Signature

June 29, 2007

Date

STEVEN J. MOORE, REG. NO. 35,959

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 8

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

CH \$40.00 110404 2784960

EXECUTION VERSION**ASSIGNMENT OF TRADEMARK RIGHT**

This Assignment of Trademark Right (the "*Assignment*") is entered into this 25th day of June, 2007 (the "*Effective Date*"), by and among CapitalSource Finance LLC ("*Assignor*") and Mr. Richard L. Cox, Sr. ("*Assignee*").

WHEREAS, Assignor was assigned a security interest in the Trademark specified on Schedule A hereto (the "*Trademark*") on June 15, 2005 and now desires to assign to Assignee, and Assignee desires to acquire from Assignor, all right, title and interest in and to the Trademark;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor hereby assigns to Assignee all right, title and interest in and to the Trademark. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this assignment not been made.

Assignor further agrees that it will execute, verify, acknowledge and deliver all such further papers as reasonably necessary, including any instruments of transfer and recordable assignments, and perform such other acts as Assignee lawfully and reasonably may request from time to time, to perfect and vest title in the Trademark in Assignee, or Assignee's successors and assigns.

This Assignment shall be governed by and enforced in accordance with the laws of the State of New York, without giving effect to any conflicts of law principles.

This Assignment shall be binding on, and shall inure to the benefit of, the parties hereto and their respective successors and assigns.


Each party represents that it has taken all necessary action to authorize the execution and delivery of this Assignment.

IN WITNESS WHEREOF, the parties hereto have caused this Assignment to be executed by their respective duly authorized officers, as of the Effective Date.

[Remainder of Page Intentionally Left Blank]

ASSIGNOR:

CAPITALSOURCE FINANCE LLC

By: 
Name: C. S. Bly
Title: Assistant Controller - H&S

ASSIGNEE:

RICHARD L. COX, SR.

By: _____

ASSIGNOR:

CAPITALSOURCE FINANCE LLC

By: _____

Name: _____

Title: _____

ASSIGNEE:

RICHARD L. COX, SR.

By: _____



ACKNOWLEDGEMENT

STATE OF Maryland)
)
COUNTY OF Montgomery) : ss

On this th day of June, 2007, before me personally came C. I. Blum, to me known, who, being by me duly sworn, did depose and say that she is the President of HSB Capital Service Firm, LLC (the "Assignor"), the corporation described in and which executed the above instrument; and that she signed her name thereto by authority of the Sole member of the Assignor.


Notary Public

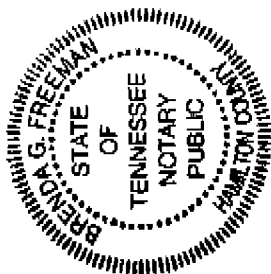
Carolyn Silva-Quaghato, Notary Public
State of Maryland
County of Montgomery

My Commission Expires: 11/01/10

ACKNOWLEDGEMENT

STATE OF Tennessee)
COUNTY OF Hamilton) ss

On this 14th day of June, 2007, before me personally came Richard L. Cox, Sr., to me known and who, being by me duly sworn, did depose and say that he is Richard L. Cox, Sr. (the "Assignee"), the individual described in and which executed the above instrument.




Notary Public MY COMMISSION EXPIRES:
November 18, 2009

**SCHEDULE A
TO
TRADEMARK ASSIGNMENT**

UNITED STATES TRADEMARK

Service Mark:	The Advisor Answer
Registration No.:	2784960
International Class:	35
Registration Date:	August 25, 2003
Owner:	Richard L. Cox, Sr.

Error
Unknown
NY01/PALLA/1216725.3